

The Assurance Framework for NHS Hambleton, Richmondshire and Whitby CCG aims to identify the main risks to the delivery of the CCGs strategic aims and its statutory obligations. The Assurance Framework sets out the controls that have been put in place to manage the risks and the assurances that have been received that show if the controls are having the desired impact. Corporate risks (amber and above) from the Corporate Risk Register have been included against the relevant Principal risks. The framework includes an action plan to further reduce the risks.

Strategic Aims	CCG Assurance Domain	Strategic Priorities
Aim 1: We will use the money we have in the best possible way	1. Better Health: How the CCG is contributing towards improving the health and wellbeing of its population, and bending the demand curve.	<ul style="list-style-type: none"> • Transforming the Community System • Mental Health and Dementia Care • Clinically Appropriate Planned Care • Children's Health • Patients with Long Term Conditions • Prevention of Ill Health • Productivity in Primary Care
Aim 2: We will buy quality services	2. Better Care: How the CCG is focussing on care redesign, performance of constitutional standards and outcomes, including in important clinical areas	
Aim 3: We will change services for the better and in doing so we will provide care as close to home as possible that is easily accessible	3. Sustainability: How the CCG is remaining in financial balance, and is securing good value for patients and the public from the money it spends.	
Aim 4: We will involve people in their care and we will encourage self-care	4. Leadership: The quality of the CCG's leadership, the quality of its plans, how the CCG works with its partners, and the arrangements that the CCG has in place to ensure it acts with probity, for example in managing conflicts of interest.	

The Assurance Framework is the key source of evidence that links strategic risks, controls and assurances and the main tool that the Governing Body should use in discharging its overall responsibility for internal control.

As Governing Body's increasingly rely on their Assurance Framework to monitor strategic aims and identify significant inherent risks, the Audit and Integrated Governance Committee's role is to look behind the Framework to provide assurance that it is valid and suitable for the Governing Body's requirements.

2018/19 Assurance Framework

<p>Strategic Objective Four: We will involve people in their care and we will encourage self-care</p>	<p>Lead Governing Body Member(s): Gill Collinson (Chief Nurse) Lead Committee: Primary Care Commissioning Committee and Quality & Safety Committee NHS England CCG Assurance Domain: Leadership and Sustainability Domains</p>
<p>Risk: 4.1 Failure to secure on-going engagement of local people, patients and politicians</p>	<p>Controls (What are we currently doing about the risk?)</p> <ul style="list-style-type: none"> • Stakeholder meetings • Stakeholder database • Communications and Engagement Dashboard • Public consultations • Engagement exercises to inform transformation programmes and activities • Engagement exercises to inform commissioning decisions e.g. Shuttle bus service, gluten free prescribing • Health Engagement Network (HEN) • Patient & Carer forums • Sign –in sheets at events to demonstrate attendance • Stakeholder Engagement Strategy • GP & Users Survey • Communications Strategy includes use of media understandable to all groups • Annual General Meeting • Patient Congress Events Evaluation Programme in place • Engagement Reference Group • Fit for the Future pages on the website, including You Said We did • Specific campaigns publicised via the website • CCG Newsletter.
<p>Assurance (How do we know if the things we are doing are having an impact?)</p> <ul style="list-style-type: none"> • Governing Body: Regular updates from the Health Engagement Network representatives and Patient and Public Voice • Governing Body: Regular updates on individual consultation initiatives e.g. Report on the outcome of the Transforming our Communities Consultation (Oct 2016) – outcomes informed the decision taken by the Governing Body on future strategy • Community Engagement Strategy recently 2017-2019 relaunched. • Communications and Engagement Dashboard presented on the website and reviewed by the Audit and Integrated Governance Committee • Communications and Engagement Annual Report December 2015 – December 2016 • Internal Audit Report 2016/03 Stakeholder Engagement (Significant Assurance) - the audit provided assurance on the engagement activities for the 'Transforming Our Communities Project' Lambert Memorial Hospital and 'Your Health 7 day access to GP Services engagement campaign' • 360 Stakeholder Engagement survey for 2016 - In the majority of areas the CCG scored better than previously and scored higher compared with other similar CCGs • Three Patient Congress events were held in 2016. • Transforming Mental Health Services Consultation (2017) • Building a sustainable future for the Friarage – Consultation 2017 events led by South Tees Hospitals NHS Foundation Trust • NHS England consultation on proposed changes to some over the counter prescription medications – Consultation closes March 2018 • NHS England consultation on changes to items not routinely prescribed • PWC Report presented to Governing Body May 2018 • 360 feedback report presented to Governing Body May 2018 • Regular input to NY Overview and Scrutiny Committee • Joint Committee of DDTHRW • Northern CCG Committee • A number of parent carers are members of the wider Transforming Care Children and Young People sub-group. Head of Children and Young People's Commissioning team (also the TCP CYP Lead) will also be linking with Flying High (NYCC Parent Carer Group) in early 2019. 	<p>Mitigation (What have we done/what more should we do?)</p> <ul style="list-style-type: none"> • Fostering positive relationships with local politicians and Overview and Scrutiny Committee • Proactive membership of Health and Wellbeing Board in order to influence strategic change • Engage with public and patients and importance and benefits of self-help • Maximise opportunities of Better Care Fund • Support the development of a Primary Care Federation organisation • Strengthen work with Practice based and Community Pharmacists • Continued CCG engagement through Council of Members, Locality Meetings, Chapter 3 events and LMC. • Pre engagement on FNH is complete
<p>Gaps</p> <ul style="list-style-type: none"> • Evaluation programme for Community Services not complete to report outcomes. Work underway. • Recent feedback from NHS England has recommended greater co-production with Parent Carers and children and young people around the Transforming Care agenda. 	<p>Actions</p> <ul style="list-style-type: none"> • Obtain feedback from users / stakeholders following implementation of changes (work ongoing for Community Services with HealthWatch support) • Work underway to review engagement mechanisms with a particular focus on locality engagement and Chapter 3 events. Plans to work more locally with patient participation groups • Programme being put in place to engage with primary care and other clinicians. Primary care summit planned. • GP Led engagement on frailty/Referral management • Updates on Mental Health Transformation • Respond to request to attend local groups • Formed an NHS 111 Citizens Group • TCP CYP Lead will meet with Flying High (NYCC Parent/Carer group) in early 2019

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<p>Risk:</p> <p>4.2 There is insufficient capacity/reliability of information/clarity of responsibility between commissioners to encourage Patient self-care.</p>	<p>Controls (What are we currently doing about the risk?)</p> <ul style="list-style-type: none"> • Public Health representative on the Governing Body and regular reports • Public Health representatives on all programmes of work. • One to one meetings with Public Health. • LA and Public Health attend the HRW Transformation Board (shared ownership of strategic plans) • Joint funding and joint working with the Local Authority, including administration of the Better Care Fund • Primary Care Workstream and Strategy. • Strategic and Operational Plan aligned with Health and Wellbeing Strategy. • Commissioning forum • Establishment of Primary Care Co Commissioning • Collaborative commissioning in place for NHS 111 services and 999 ambulance services. • Sustainability and Transformation Plan (STP). • Quality Impact Assessment (QIA) for all programmes. These are reviewed by the Quality and Safety Committee
<p>Assurance (How do we know if the things we are doing are having an impact?)</p> <ul style="list-style-type: none"> • Governing Body: Strategy approved for 'Building the Right Support across York and North Yorkshire.' (LD Strategy). (May 2016) – plan to develop community services and reduce in-patient facilities • Governing Body: Regular updates aligned to transformation programmes to inform commissioning decisions • Governing Body: Primary Care Strategy approved. • Transformation Board: Regular updates aligned to transformation programmes to inform commissioning decisions • Primary Care Co Commissioning: Regular updates aligned to transformation programmes to inform commissioning decisions • Primary Care Co Commissioning: Receives reports on the development of the Primary Care Strategy • Quality, Finance and Performance Group: Receives updates as part community programme (incorporates better care find). • Report on shift in commissioning policy re gluten free products to July 2016 Governing Body. • Public Health update re Tobacco control and Stop Smoking Services to Governing Body in July 2016. • Public Health Annual Report 2016 presented to Governing Body (September 2016). • Approval of Healthy Weight, Healthy Lives: Tackling Overweight and Obesity in North Yorkshire (November 2016) • NHS Health Check Programmes plus NHS Health Check annual report (November 2016) • 5-19 Healthy Child Programme Update (July 2016) • Updates on the Sustainability and Transformation Plan (STP). • Establishment of contract management board for primary care. • Monthly Prescribing Recommendation: Self Care October 2017 • Medicines Management Patient information leaflet (December 2017) • PWC Report presented to Governing Body May 2018 • 360 feedback report presented to Governing Body May 2018 	<p>Mitigation (What have we done/what more should we do?)</p> <ul style="list-style-type: none"> • Fostering positive relationships with local politicians and Overview and Scrutiny Committee • Proactive membership of Health and Wellbeing Board in order to influence strategic • Engage with public and patients and importance and benefits of self-help • Maximise opportunities of Better Care Fund • Support the development of a Primary Care Federation organisation • Strengthen work with Practice based and Community Pharmacists • Continued CCG engagement through Council of Members, Locality Meetings, Chapter 3 events and LMC. • CCG Campaigns: <ul style="list-style-type: none"> ○ Minor ailments that can be managed by advice and medication from your local pharmacy or supermarket ○ Medicines Waste: Only request medicines on prescription you really need, when you really need them. ○ Paracetamol: Only request a prescription for paracetamol if you really have to. ○ Video: What is the single best thing we can do for our health?
<p>Gaps</p> <ul style="list-style-type: none"> • 	<p>Actions</p> <ul style="list-style-type: none"> • Opportunistic awareness raising • Annual report focus on population well being