Title of Paper: Assurance Framework: Strategic Objective Four	
Governing Body Meeting Date: 24/01/2019	**Item Number: 16**
Report Sponsor: Jim Hayburn (Interim Chief Finance Officer)	**Report Author:** Alison Levin (Deputy Chief Finance Officer)

1. Conflicts of Interest

A conflict of interest occurs where an individual's ability to exercise judgement or act in one role is or could be impaired or otherwise influenced by his or her involvement in another role or relationship. The individual does not need to exploit his or her position or obtain an actual benefit, financial or otherwise. A potential for competing interests and/or a perception of impaired judgement or undue influence can also be a conflict of interest.

Are there any potential conflicts of interest relating to this paper, which may arise within the committee and need to be addressed by the Chair of the Committee prior to this item being tabled?

Yes ☐   No   ☒

If yes, please detail here the action taken by the Interim Chief Finance Officer to prevent conflicts of interest within the decision making process

2. This paper is for:

Approval	Decision	Assurance – For Discussion	Assurance – For Information Only
☐	☐	☒	☐

3.

CCG Strategic Aims Objectives supported by this paper	X
1. We will involve people in their care and we will encourage self-care	☐
2. We will buy quality services.	☐
3. We will change services for the better and in doing so we will provide care as close to home as possible that is easily accessible.	☐
4. We will use the money we have in the best possible way.	☒

4.

Transformational Programmes	X
CS000 - Community Care	☐
FH000 - Forces Family Health	☐
PC000 - Primary Care	☐
PL000 - Planned Care	☐
UC000 - Urgent and Emergency Care	☐

5.

Other Programmes	X
Maternity & Paediatrics	☐
Mental Health and Learning Disabilities	☐

6.

Enabling Programmes	X
WSC000 - Whole system commissioning and new payments models	☐
CE000 - Communications and Engagement	☐
TE000 - Better use of Technology and IT	☐
ES000 - Estates	☐
WF000 - Workforce	☐
MM000 - Medicines Management	☐
QU000 - Quality	☐
Promote good governance and proper stewardship of public resources in pursuance of CCG goals and in meeting its statutory duties	☒

7.

	CCG Values Underpinned in this paper	X
1	**Integrity**	☒
2	**Transparency**	☒
3	**Collaboration**	☒
4	**Focus**	☒
5	**Action**	☒
6	**Energy**	☒
7	**Courage**	☒

8.   Does this paper provide evidence of assurance against the Governing Body Assurance Framework? Click here for link

	X
YES	☐
NO	☐

If you answered yes above, please indicate which principle risk and outline

Principle Risk No	Principle Risk Outline
Principal Risk No: **4.1**	**We will involve people in their care and we will encourage self-care.** Failure to secure on-going engagement of local people, patients and politicians.
Principal Risk No: **4.2**	There is insufficient capacity/reliability of information/clarity of responsibility between commissioners to encourage Patient self-care.

9.   Does this paper mitigate risk included in the CCGs Risk Registers? If Yes, please outline. **Click here for link**

	Ref: Risk No	Outline
Yes		
No		

10. Executive Summary

The Assurance Framework aims to identify the main risks to the delivery of the CCGs strategic aims and its statutory obligations. It sets out the controls that have been put in place to manage the risks, identifies any assurances that have been received that demonstrate if the controls are having the desired impact, and captures any further mitigations and remaining gaps. The framework includes an action plan to further reduce the risks.

The 2018/19 Assurance Framework was reviewed by the Audit and Integrated Governance Committee at their meeting on the 06 December 2018; no further amendments were highlighted.

The Governing Body is asked to review Strategic Objective Four "We will involve people in their care and we will encourage self-care" (Appendix 1).

11. Any statutory / regulatory / legal / NHS Constitution Implications

The CCG has statutory responsibilities to achieve national targets and ensure the quality of services commissioned.

The framework is used by the CCG to inform its Annual Governance Statement.

12. Equality Impact Assessment Click here for link

None.

13. Implications / Actions for Public and Patient Engagement

Ongoing engagement activities with service users about the strategic priorities and individual service reviews.

14. Recommendations / Action required

The Governing Body is requested to receive and review Strategic Objective Four "We will involve people in their care and we will encourage self-care", and to note that the systems and processes outlined in detail provide assurance to the Governing Body that risks are being identified and managed appropriately.

15. Monitoring

Each strategic aim and assurances to be reviewed at each Governing Body meeting.

For further information please contact: Alison Levin