

Title of Paper: Finance Report															
Governing Body Meeting Date: 28/11/2019		Item Number: 6.1													
Report Sponsor: Jane Hawkard		Report Author: Alison Levin													
1. Conflicts of Interest <p>A conflict of interest occurs where an individual's ability to exercise judgement or act in one role is or could be impaired or otherwise influenced by his or her involvement in another role or relationship. The individual does not need to exploit his or her position or obtain an actual benefit, financial or otherwise. A potential for competing interests and/or a perception of impaired judgement or undue influence can also be a conflict of interest.</p> <p>Are there any potential conflicts of interest relating to this paper, which may arise within the committee and need to be addressed by the Chair of the Committee prior to this item being tabled?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, please detail here the action taken by the Interim Chief Finance Officer to prevent conflicts of interest within the decision making process.</p>															
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6.

Enabling Programmes	X
WSC000 - Whole system commissioning and new payments models	<input type="checkbox"/>
CE000 - Communications and Engagement	<input type="checkbox"/>
TE000 - Better use of Technology and IT	<input type="checkbox"/>
ES000 - Estates	<input type="checkbox"/>
WF000 - Workforce	<input type="checkbox"/>
MM000 - Medicines Management	<input type="checkbox"/>
QU000 - Quality	<input type="checkbox"/>
Promote good governance and proper stewardship of public resources in pursuance of CCG goals and in meeting its statutory duties	<input checked="" type="checkbox"/>

7.

	CCG Values Underpinned in this paper	X
1	Integrity	<input checked="" type="checkbox"/>
2	Transparency	<input checked="" type="checkbox"/>
3	Collaboration	<input checked="" type="checkbox"/>
4	Focus	<input checked="" type="checkbox"/>
5	Action	<input checked="" type="checkbox"/>
6	Energy	<input checked="" type="checkbox"/>
7	Courage	<input checked="" type="checkbox"/>

8. Does this paper provide evidence of assurance against the Governing Body Assurance Framework? Click [here](#) for link

	X
YES	<input checked="" type="checkbox"/>
NO	<input type="checkbox"/>

If you answered yes above, please indicate which principle risk and outline

Principle Risk No	Principle Risk Outline
Principal Risk No: 2.2	The CCG cannot afford to buy the services it needs. Quality is compromised.
Principal Risk No:	

9. Does this paper mitigate risk included in the CCGs Risk Registers? If Yes, please outline. Click [here](#) for link

	Ref: Risk No	Outline
Yes		
No		

10. **Executive Summary**

The CCG is reporting a year to date and forecast position in line with its financial plan.

There are risks to delivery in relation to higher than planned acute contract activity in

the independent sector, pressures in prescribing costs, primary care contract commitments exceeding allocation and Mental Health and Continuing Health Care (CHC) placements spending above budget.

The CCG is proactively working with budget holders and service providers to mitigate these risks. However the ongoing risks to delivery are still being assessed in advance of the next submission at Month 8.

11. Any statutory / regulatory / legal / NHS Constitution Implications

None

12. Equality Impact Assessment Click [here](#) for link

N/A

13. Implications / Actions for Public and Patient Engagement

N/A

14. Recommendations / Action required

The Governing Body is asked to note this paper.

15. Monitoring

Joint Finance, Performance, Commissioning and Contracting Committee.

For further information please contact: Alison Levin (Deputy Chief Finance Officer)